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Tethered Counterion-Directed Catalysis: Merging the Chiral Ion-Pairing and Bifunctional Ligand Strategies in Enantioselective Gold(I) Catalysis

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97% ee) at an unusually low 0.2 mol % catalyst loading. Remarkably, the method is also compatible with a silver-free protocol.

INTRODUCTION

The challenge of enantioselective gold(I) catalysis clearly relates to the linear geometry of the active complexes as well as, in many instances, to the outer-sphere mechanisms of the enantiodetermining step. Nevertheless, high enantioselectivity could be achieved in recent years by means of either sterically congested ligands, which create deep chiral pockets embedding the distal active site, bifunctional phosphines, or by dinuclear complexes possibly shaped through aurophilic interactions.¹ Alternatively, Toste² introduced the chiral counterion strategy, where notably BINOL-derived phosphates operate as chiral inducers in reactions involving cationic gold intermediates. Despite some uncertainties over the exact mechanisms and role of the phosphate anions, this strategy has shown prominent potential and has triggered significant advances in both gold^{3,4} and other transition metal catalysis.^{5,6} In gold(I) catalysis, the first disclosed intramolecular hydroalkoxylation, hydrocarboxylation, and hydroamination reactions remain so far the main application domains of the counterion strategy, although the method should apply, in theory, to a much wider range of reactions. Notably all reactions involving tight ion pairs in the enantiodetermining step are potentially suitable, including those going through carbocationic intermediates with remote, neutral gold(I) units. This scenario is suitably typified by the tandem heterocyclization-nucleophilic addition reactions in Scheme 1.1.7 In this case and others, the stereochemical control from the chiral counterion suffers, however, from the poorly defined and flexible spatial arrangement of the phosphate-carbocation pair. We suggest that this drawback might be overcome by tethering somehow the phosphate

Scheme 1. Proposed Enhanced Strategy for Asymmetric Ion-Pair Catalysis: the Tethered Counterion-Directed Catalysis (TCDC) Approach

1. Targeted catalytic reactions



2. Proposed TCDC strategy



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Scheme 2. Synthesis of the Phosphoric Acid-Tethered Phosphine Gold Chloride Complex 5

counterion to the cationic Au complex (Scheme 1.2b). A covalent tether connecting the phosphate unit to a gold ligand might afford sufficient geometrical constraints and molecular organization to the key intermediate to allow efficient stereochemical control. This approach, when properly implemented, might push the limits of the "ion-pairing strategy" in enantioselective gold catalysis and, more broadly, in enantioselective transition metal catalysis. Transition metal complexes embedding anion-tethered ligands have been reported. However, in these cases, the ligand does not dissociate from the metal all throughout the catalytic process.⁸

In this paper, we report on the specific design of a new bifunctional ligand combining a monophosphine and a remote BINOL-derived phosphate function.⁹ As a proof of concept, we show that the corresponding Au(I) complex gives so far unattained levels of enantioselectivity in the cycloisomerization/addition sequence depicted in Scheme 1.1, at very low catalytic loading. Theoretical studies enlighten and support mechanistic hypotheses on the role of the phosphate counterion in these reactions.

RESULTS AND DISCUSSION

The targeted Au-precatalyst (S)-5 contains an (S)-BINOLderived phosphoric acid moiety, with an *ortho*-(diphenylphosphino)phenyl substituent at its 3-position.^{10,8a} The key steps for the synthesis of the LAuCl complex (S)-5, shown in Scheme 2, were inspired by the respective work of Iwa and Sawamura,^{8a} and Sasai¹¹ who reported on the synthesis of BINOL-based bifunctional phosphines and studied their rhodium coordination or applications in organocatalysis, respectively.

The synthetic approach starts with the synthesis of the BINOL-substituted triarylphosphine oxide (S)-2^{11,12} via the palladium coupling of boronate (S)-1¹³ with (2-bromophenyl)diphenylphosphine oxide, followed by MOM group removal in acidic conditions. The phosphine oxide (S)-2 is obtained in an overall 74% yield. Reduction with chlorosilane gives then the trivalent phosphine (S)-3 that displays two sets of signals in both ³¹P and ¹H NMR spectra, as reported previously (³¹P NMR δ = -10.6 and -12.3 ppm). Complexation of (S)-3 to Au(I) was carried out successfully using Me₂SAuCl as the starting material leading to (S)-4 in 89% yield. The final setup of the cyclic phosphoric acid unit

was done via a classical procedure, by using $P(O)Cl_3$ as the phosphorylating agent, followed by an acidic hydrolysis. It afforded the desired (phosphine)AuCl complex (S)-5 as a crystalline solid in 88% isolated yield.¹⁴ The whole sequence could be scaled-up to several grams without notable difficulties (3.15 g of (S)-5 have been isolated, 3.7 mmol). The molecular structure of (S)-5 has been ascertained by X-ray crystallography (Scheme 2). The 31 P NMR spectrum of acid (S)-5 in CDCl₃ shows a 4:1 mixture of two species (³¹P NMR in CDCl₃: δ = 27.6 and 2.9 ppm for the major isomer; δ = 26.2 and 4.6 ppm for the minor isomer, see Scheme 3), tentatively assigned as equilibrating rotamers. When the ³¹P NMR of compound (S)-5 was recorded in deuterated pyridine, very clean spectra were obtained showing a single set of signals (³¹P NMR in pyridine- d_5 : δ = 29.2 and 6.7 ppm). In DMSO- d_6 at room temperature, compound (S)-5 also displays two sets of broad signals, which coalesce into a single set at 110 °C (δ = 26.8 and 1.1 ppm).

Chloride abstraction from the gold complex (S)-5 has been carried out then with the basic silver carbonate, so as to also deprotonate concomitantly the phosphoric acid function (Scheme 3, eq 1). From this experiment, the putative Au(I) complex (S)-6 was obtained. Its ³¹P NMR spectrum shows a broad signal at δ = 21.8 ppm and a sharp one at 8.3 ppm. These data are in agreement with the postulated formation of the phosphine-phosphate complex, since both phosphorus signals are significantly shifted with respect to (S)-5. Structurally related gold(I) phosphates from the literature also display ³¹P NMR chemical shifts in the range 8-10 ppm, in CD_2Cl_2 .¹⁵ Interestingly, complex 6 does not show ${}^{31}P-{}^{31}P$ coupling in ³¹P NMR, indicating that the gold-oxygen interaction may be more ionic than covalent.¹⁶ The molecular formula of (S)-6 has been ascertained by mass spectrometry (ESI m/z calculated for $[M + HCOO]^- = 849.0781$; found: m/z = 849.0876). For comparison purposes, complex (S)-5 was reacted also with AgNT f_2 , to give a new complex (S)-7a. The ³¹P NMR of (S)-7a was diagnostic for a Cl/NTf₂ exchange (high-field shift of the phosphine signal from 27.7 to 22.9 ppm), while the signal of the phosphoric acid group remained unchanged at 2.9 ppm. Overall, although we could not obtain so far crystals of (S)-6 suitable for X-ray diffraction studies, both mass spectrometry and NMR data tend to support the structural assignment, although they cannot

Scheme 3. Conversion of (S)-5 to Cationic Au(I) Species and ^{31}P NMR Spectra of the Au(I) Complexes 5–7 in CDCl₃



discriminate so far between monomeric species and more complex assemblies in solution. Assemblies would have however little incidence on catalytic processes, since coordination of the substrates would generate then the same active species.

We then turned straight to catalytic tests by investigating the tandem cycloisomerization/nucleophilic additions of 2-alkynylenones typified in Table 1. As pointed out above, the rationale behind this choice is that these reactions should involve carbocation-phosphate pairs as the key intermediates and therefore benefit from tethering gold to the chiral phosphate. The reaction, initially reported by Larock⁷ by using AuCl₃ as the catalyst, leads to synthetically relevant, highly substituted furans. It applies to a number of 2-alkynyl-enones (cyclohexenones, chromones, acyclic enones) and tolerates a large set of nucleophilic partners: alcohols, water, 1,3-dicarbonyls, indoles, allenamides, anilines, and carbamates. With imines, allenamides,¹⁸ 3-styrylindoles,¹⁹ and nitrones²⁰ as the nucleophiles, 3,4-fused bicyclic furans are obtained. Beside Au(III) and Au(I) catalysts,²¹ also Pt(II),²² Pd(II),²³ Ag(I),²⁴ Cu(I), Cu(II),²⁵ and In(III)²⁶ salts proved to be good catalysts. Despite the wide synthetic potential of these reactions, enantioselective variants have been implemented successfully for only a few substrate pairs. Beyond Toste's initial report on indole nucleophiles $(Cu(TRIP)_2)^2$ catalysts)^{25b} and our recent report with Ag⁺ catalysts,²⁴ notable examples are the reactions carried out with nitrones, 9e,27 3-styrylindoles¹⁹ and allenamides¹⁸ using either bis-gold complexes of diphosphines or monogold complexes of sulfinamide-functionalized phosphines and phosphoramidites.

Table 1. Enantioselective Cyclization/Indole AdditionReactions: Optimization with Precatalyst $(S)-5^a$



^{*a*}Reactions were run with a 1:1 ratio of **8a** and **9a**, at rt for 18 h. Reactions in entries 1–5 were performed at a 0.1 mmol scale (0.1 M). In entries 6–10 (0.55 mmol scale), the concentration of the catalyst was 0.4 M. ^{*b*}Isolated yields. ^{*c*}Determined by chiral HPLC. ^{*d*}At low catalytic loading, the silver carbonate was used in excess, with no impact on the enantioselectivity level.



The gold complex (S)-5 was evaluated initially in the reaction of 2-(phenylethynyl)-2-cyclohexenone 8a with indole 9a. Comparative experiments were also performed to support the postulated involvement of the phosphate function in the stereochemical control of this reaction. Selected experiments are reported in Table 1. Initial experiments showed that in situ activation of (S)-5 with Ag₂CO₃ in various solvents enables a good catalytic activity, with up to 88% ee for reactions performed in toluene (Table 1, entries 2-4).²⁸ Most rewardingly, the precatalyst loading could be gradually decreased from 5 to 2, 0.2, 0.1, and 0.05 mol % leading to constantly good yields and enantioselectivities (91-96% ee, entries 4-9). In the context of enantioselective Au(I) catalysis, where a 3-5 mol % catalyst loading is usually employed, a 0.05 mol % catalyst loading is extremely low. This excellent catalytic activity (TON 1400) might suggest an exceptional stability of the resting state of the catalyst toward decay, possibly due to the tight intramolecular pairing between Au(I) and its phosphate counterion. Alternatively, the high reactivity might be assigned to the strained, nonlinear coordination of gold in complex 6 (see calculated geometries hereafter), which would

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decrease the deformation energy required for the coordination of the substrate.²⁹

A few experiments have been carried out then, whose results overall confirm the role of the phosphate tether.

- Catalysts (S)-7a,b, generated from (S)-5 with the nonbasic silver salts AgNTf₂ and AgSbF₆, gave significantly lower enantiomeric excesses (entries 10, 11).
- Catalysts (S)-11a.b. which display the same molecular scaffold as (S)-5 but a methyl phosphate function, instead of the phosphoric acid function, give very low enantiomeric excesses.³⁰
- The $(Ph_3P)Au(TRIP)$ complex (R)-12, which may be viewed as a non-chelated structural analogue of 6, gives a much lower enantiomeric excess (24% ee,²⁴ entry 14).
- Another non-chelated analogue has been prepared purposely: the $(Ph_3P)Au(phosphate)$ (S)-13a, which contains the same tethered phosphine/phosphate of (S)-5 but displays an oxidized phosphorus function. It gave a racemic product (entry 15).

Finally, from results in Table 1, it may be noted that Ag₂CO₃ does not catalyze, by itself, this reaction (entry 1), and the silver phosphate (S)-13b displays low catalytic activity and low enantioselectivity (entry 16). Therefore, silver catalysis should not compete significantly with the gold promoted catalytic process in entries 4-9.

The absolute configuration of the final product 10aa has been established, for the first time in this series, by X-ray crystallography (see the Supporting Information). The (S)configured catalyst 5 gives (R)-10aa as the major enantiomer.

Overall, the comparative experiments in Table 1 clearly highlight the positive effect of tethering the phosphine and phosphate functions and therefore substantiate our catalyst design and working hypothesis.

The high catalytic performance of (S)-5 in the reaction above encouraged us then to investigate the substrate scope by considering the substituted indoles 9b-g (Scheme 4). Substituents such as methoxy, bromine, and methyl groups were tolerated on the C5 and C6 positions of indole, delivering 10ab-ad in high enantiomeric excesses. Interestingly, the C2substituted 2-methylindole gave 10ae in high ee and higher yield than the Cu(II) based method.^{25b}

In a second series of experiments, the 2-alkynyl-cyclohexenones 8b-e, displaying various R^1 groups, were reacted with indole 9a ($R^2 = H$). For $R^1 = p$ -anisyl, p-tolyl, and manisyl, the corresponding bicyclic furanes 10ba, 10ca, and 10da were obtained in high yields and enantioselectivities. Finally, an alkyl substituted substrate 8e ($R^1 = C_5 H_{11}$) was reacted with indole and led to the expected furane 10ea with 87% ee. Most notably, the reaction between N-Me-indole 9f and **8a** delivered the addition product **10af** in high yield (92%) and enantiomeric excess (94% ee). A similar level of enantioselectivity was obtained also from N-benzyl indole 9g (10ag, 92% ee). These two results are crucial to enlighten the mechanism of the stereochemical control. They indeed indicate that the NH function of indole is not essential and rule out the involvement of H-bonds between phosphate and indole in the stereodetermining step. They also demonstrate that our method enables the previously unsuccessful use of Nsubstituted indoles in these catalytic reactions.²⁴

Cyclopentenone 8f and cycloheptanone 8g were then used in the reaction leading to the corresponding bicyclic furanes 10fa and 10ga in 21% ee and 94% ee, respectively. These

Scheme 4. Scope of the Enantioselective Addition of Indoles 9 to Enones 8^{*a*,*b*}



R²= 5-OMe, **10ab**, 81%, 97% ee R²= 5-Br. 10ac. 77%, 97% ee R²= 6-Me, 10ad, 44%, 96% ee R²= 2-Me, 10ae, 49%, 82% ee

R¹= C₅H₁₁, **10ea**, 46%, 87% ee



^aIsolated yields. ^bEnantiomeric excesses were determined by chiral HPLC. ^cReaction was performed with 2 mol % of the precatalyst 5.

unprecedented reactions show that the enantioselective cyclization/nucleophilic addition sequence applies successfully, not only to six-membered but also to seven-membered enones.

In another series of experiments, 3-substituted indoles were considered (Scheme 5). 3-Methylindole could be reacted: addition through its C2-position afforded compound 14ah in high yield and enantioselectivity (93% ee). Extension of the process to 1,3-dimethylindole gave 14ai in high 95% ee but moderate yield, that could however be increased to 91% using 2 mol % of the catalyst. When increasing the steric hindrance at the C3 position we observed, interestingly, that the reaction may lead to mixtures of two products resulting from C2 and Nalkylations, respectively. Thus, methyl-3-indolylacetate 9j led to an essentially 50/50 mixture of 14aj and 15aj in excellent ee's, while the 3-isopropylindole 9k afforded mainly the Nalkylated product 15ak in 94% ee. These experiments demonstrate the possibility to engage the three potentially nucleophilic positions of indoles in highly enantioselective cycloisomerization/addition processes.

The N-additions observed here encouraged us to move then to totally different series of nucleophiles as reaction partners. We were pleased to find that unprecedented enantioselectivity levels can be attained with heteronucleophiles such as benzylcarbamate (94% ee), tosylamide (87% ee), and phenol (90% ee) (Scheme 6). Noteworthy, even the simple addition of water takes place with high stereocontrol, leading to the alcohol 16ea in 79% ee. The reaction also affords ethers 16eb and 16ec (homochiral 16eb 50% yield, meso 16ec 18% yield). Finally, the use of cyclohexane-1,3-dione as nucleophile

Scheme 5. Enantioselective Additions of C3-Substituted Indoles to Enone 8a



afforded **16f** in 77% yield and 89% ee, resulting from Oaddition of the nucleophile to the intermediate carbocation, as previously observed by Larock.^{7b} Overall these experiments demonstrate the high stereocontrol induced by the newly designed (S)-**5**/Ag₂CO₃ catalytic system in reactions involving a variety of nucleophiles, from substituted indoles to simple nitrogen and oxygen nucleophiles.

Finally, during these studies, we disclosed rewardingly that activation of precatalyst (S)-5 by a silver salt may not be systematically required (Table 2). The reaction of ketones 8a and 8g with representative nucleophiles (indoles and tosylamide) could be performed in the presence of (S)-5 only, and the corresponding products were obtained in moderate to good yields and very high enantiomeric excesses. The gold chloride (S)-5 gives a slightly lower reaction rate, with respect to the Ag₂CO₃ activated catalyst. Thus, we believe that the precatalyst reacts in situ with the weakly basic nucleophiles of the reaction mixture, resulting in the spontaneous, reversible formation of the active gold phosphate catalyst by HCl abstraction. The precatalyst 5 may thus be considered as a reservoir of stable Au(I) complex gradually delivering the active catalyst. This rare property of (S)-5 may be extremely important in the general context of Au(I)catalysis, where the effects of silver salts are far from being innocent.4b,31

The search for alternative silver-free gold catalysts is hence a long-lasting quest.³² Most approaches rely on protic acid activation of LAuMe complexes,³³ Brønsted acid activation of LAu(OH),³⁴ activation of LAuCl with silylium salts³⁵ or Cu(OTf)₂³⁶ and addition of a Brønsted acid/Lewis acid to (PPh₃)Au(Pht).^{31c} As far as we know, the spontaneous



Scheme 6. Enantioselective Tandem Cyclization-Addition of Heteronucleophiles Promoted by (S)- $5/Ag_2CO_3$



 Table 2. Use of Nonactivated (S)-5 in the Enantioselective

 Cyclization/Addition Reactions

		n 8a,g	Ph + Nucleophile	(S)-5 (0.2 PhMe, rt	2 mol%) , 16 h	Nu n 16
	entry	8	nucleophile	product	yield $(\%)^a$	ee (%) ^b
	1	8a	9a	10aa	80	92
	2	8a	9b	10ab	73	94
	3	8a	9c	10ac	30	75
	4	8g	9a	10ga	55	95
	5	8a	$TsNH_2$	16b	41	88
^{<i>a</i>} Isolated yields. ^{<i>b</i>} Determined by chiral HPLC.						

dissociation of chloride from Au(I) complex has been claimed only in the gold-catalyzed hydration of alkynes using $IPrAuCl.^{37}$

The mechanistic pathway currently postulated for these reactions is illustrated in Scheme 7, with enone 8 and indole 9 as the substrates. Activation of the alkyne unit by Au(I) (Int. I) triggers the cycloisomerization of enone 8 into the cationic intermediate II featuring a phosphate counterion. The nucleophilic addition of indole to II, leads then to intermediate III. From III, the proto-deauration step proceeds through formal H-transfer, that might be mediated actually by the phosphate itself, or by trace amounts of other bases (e.g.,

Scheme 7. Postulated Mechanistic Pathway



 HO^{-}). According to the postulated mechanism, high enantioselectivity levels should result from a tight ion pairing in intermediate <u>II</u>, which will bring the chiral phosphate unit closer to the prochiral carbon in the enantiodetermining step. It can be assumed that the geometrical constraints enforced by tethering gold(I) to phosphate create a more organized spatial arrangement in II and enable the excellent stereocontrol.

To gain better insight into the structure of the potential catalyst (*S*)-6 and the postulated reaction intermediates II and III, we have carried out computational studies at the DFT level (see the SI for computational details). The lowest energy structure for monomeric catalyst (*S*)-6 is displayed in Figure 1 (6a). It shows that coordination of the phosphate to gold involves preferentially the *pro-S* oxygen atom, which gives a *S*-configured phosphorus. Coordination of the other PO unit would lead to 6b which is 42 kJ mol⁻¹ higher in energy compared to 6a, due to its more distorted and strained geometry (P–Au–O bond angle = 155.5° for 6b vs 159.9° for 6a; Au–O = 2.152 Å for 6b vs 2.088 Å for 6a).

Examination of the metrical parameters of 6a around the metal center reveals a significantly bent phosphine-goldphosphate unit (P-Au-O bond angle = 159.9°), due to the geometrical constraints enforced by the molecular backbone of the ligand. For comparison, the geometry of the non-tethered (triphenylphosphine)gold(I) BINOL-phosphate complex 17 has been calculated as well (Figure 1). In 17, the linearity at gold is almost restored (P-Au-O bond angle = 172.9°), in line with the previously reported X-ray structure (172.7°).¹⁵ The deviation from linearity observed in 6 has only limited effect on the bond lengths: the Au-P (2.279 Å) and Au-O (2.088 Å) bond distances are similar to those observed experimentally for a (triphenylphosphine)gold-phosphate (2.20 and 2.058 Å, respectively)¹⁵ and its computational model 17 (2.280 and 2.106 Å, respectively). The bent geometry of the complex might have effects on the energy profile throughout the catalytic cycle.

The key carbocationic intermediate **IIa** features an electrostatic pairing between the carbocation and the tethered phosphate group leading to the preferred conformation shown in Figure 2. The stereochemistry control should result then from two combined effects: the preferred conformation of the zwitterionic intermediate **IIa** and the preferred addition of



Figure 1. DFT calculated structures of catalyst (*S*)-6 and its non-tethered analogue 17. Relative Gibbs free energy in kJ mol⁻¹.

the nucleophilic indole that is likely to take place from the face opposite to the phosphate group. Importantly, the resulting configuration of stereogenic center in **IIIa** matches with that of the final product **10a** established by X-ray crystallography (*R*configuration). Overall, our preliminary calculations (see the Supporting Information) highlight (a) the nonlinear geometry of the P–Au–O moiety in catalyst **6** that might be responsible for its increased reactivity; (b) an easy cycloisomerization process triggered by gold(I) ($\Delta G^{\ddagger} = 36.6$ kJ mol⁻¹); (c) the strong preference of the carbocationic intermediate **II** for ionpairing with the phosphate anion; and (d) an exergonic nucleophilic addition step taking place from the less hindered face, opposite to the phosphate group.

CONCLUSION

In conclusion, this work affords clear evidence that tethering of a phosphine and a phosphoric acid represents a promising new approach to catalyst design. By combining the chiral counterion strategy and the remote cooperative group strategy, this design has enabled unprecedented enantioselectivity levels to be attained in a highly synthetically useful reaction. Tethering of the phosphate counterion also produces a rare example of gold chloride complex that does not require activation by silver salts. While in gold catalysis the ACDC strategy was hitherto limited to intramolecular processes, the counterion tethering approach allowed us to successfully



Figure 2. Optimized geometry of intermediate IIa and postulated direction of the nucleophilic addition (for clarity, a wire frame representation of some aryl groups is used).

develop an intermolecular reaction. Following this proof of concept, the tethered counterion-directed catalysis (TCDC) should find applications not only in a range of gold(I) catalyzed reactions, but also in a number of processes promoted by other transition metals. The scope of these catalysts is being investigated in our group.

ASSOCIATED CONTENT

③ Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/jacs.9b11154.

Details of the experimental procedures, NMR spectra, HPLC data and computational data (PDF) CIF files (ZIP)

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Notes

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