

CO<sub>2</sub> Hydrogenation

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# Highly Dispersed ZnO Sites in a ZnO/ZrO<sub>2</sub> Catalyst Promote Carbon Dioxide-to-Methanol Conversion

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Abstract: ZnO/ZrO<sub>2</sub> catalysts have shown better activity in the CO<sub>2</sub> hydrogenation to methanol compared with single component counterparts, but the interaction between ZnO and ZrO<sub>2</sub> is still poorly understood. In particular, the effect of the ZrO<sub>2</sub> support phase (tetragonal vs. monoclinic) was not systematically explored. Here, we have synthesized ZnO/ZrO<sub>2</sub> catalysts supported on tetragonal ZrO<sub>2</sub> (ZnO/ZrO<sub>2</sub>-t) and monoclinic ZrO<sub>2</sub> (ZnO/ZrO<sub>2</sub>-m), which resulted in the formation of different ZnO<sub>x</sub> species, consisting of subnanometer ZnO moieties and large-sized ZnO particles, respectively. ZnO/ZrO<sub>2</sub>-t exhibited a higher methanol selectivity (81 vs. 39%) and methanol yield (1.25 vs. 0.67 mmol  $g^{-1}h^{-1}$ ) compared with ZnO/ZrO<sub>2</sub>-m. The difference in performance was attributed to the redox state and degree of dispersion of Zn, based on spectroscopy and microscopy results. ZnO/ZrO<sub>2</sub>-t had a high density of  $ZnO_{v}$ -ZrO<sub>v</sub> sites, which favored the formation of active HCOO\* species and enhanced the yield and selectivity of methanol along the formate pathway. Such ZnO clusters were further dispersed on ZrO<sub>2</sub>-t during catalysis, while larger ZnO particles on ZnO/ZrO2-m remained stable throughout the reaction. This study shows that the phase of  $ZrO_2$  supports can be used to control the dispersion of ZnO and the catalyst surface chemistry, and lead to enhanced catalytic performance.

#### Introduction

Converting carbon dioxide (CO<sub>2</sub>) into high-value chemicals and fuels is a strategy to reduce greenhouse gas emissions.<sup>[1]</sup> Among the various conversion pathways of CO<sub>2</sub>, the hydrogenation of CO<sub>2</sub> into methanol (CH<sub>3</sub>OH) by using renewable hydrogen (H<sub>2</sub>) has gained significant attention. CH<sub>3</sub>OH may be directly used as fuel, or transformed into olefins and other valuable chemicals via the well-known methanol-tohydrocarbons (MTH) process.<sup>[2]</sup> However, the challenge for the catalytic hydrogenation of CO<sub>2</sub> into CH<sub>3</sub>OH is that the yield and selectivity towards CH<sub>3</sub>OH are still relatively low, mostly due to the competition with the reverse water-gas shift (rWGS) reaction, producing carbon monoxide (CO).<sup>[3]</sup> While typical solid catalysts used for the CO<sub>2</sub>-to-CH<sub>3</sub>OH reaction are Cu/ZnO/Al<sub>2</sub>O<sub>3</sub>,<sup>[4]</sup> Pd/ZnO,<sup>[5]</sup> and In<sub>2</sub>O<sub>3</sub> systems,<sup>[6]</sup> many alternative compositions have been proposed in the open literature, such as ZnO/ZrO<sub>2</sub>-supported catalyst materials.<sup>[7]</sup> Interestingly, both stand-alone ZrO<sub>2</sub> and ZnO are known to be active for the rWGS reaction, but the ZnO/ZrO<sub>2</sub> composite catalysts demonstrated up to 86 % CH<sub>3</sub>OH selectivity.<sup>[8]</sup>

Zirconia (ZrO<sub>2</sub>) has three possible crystal phases: monoclinic (ZrO<sub>2</sub>-m), tetragonal (ZrO<sub>2</sub>-t) and cubic (ZrO<sub>2</sub>c).<sup>[9]</sup> The metastable ZrO<sub>2</sub>-t and ZrO<sub>2</sub>-c can be obtained by thermal decomposition, chemical evaporation, sol-gel methods, and hydrothermal techniques.<sup>[10]</sup> Li et al. synthesized pure ZrO<sub>2</sub>-m and ZrO<sub>2</sub>-t nanoparticles via a simple solvothermal method, using inorganic zirconium salts (e.g., hydrated zirconyl nitrate) and urea in water and methanol, respectively.<sup>[11]</sup> Bumajdad et al. suggested that mesoporous zirconia nanoparticles with pure ZrO<sub>2</sub>-m, ZrO<sub>2</sub>-t and ZrO<sub>2</sub>-c

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phases can be synthesized by thermally treating chitosan- or polyvinyl alcohol-ZrO<sub>x</sub> hybrid films in air.<sup>[12]</sup>

Despite the potential of  $ZnO/ZrO_2$  composite catalyst materials, the interaction between ZnO and ZrO<sub>2</sub> and the nature of the active sites are still not well understood, in particular as a function of ZrO<sub>2</sub> phase (i.e., monoclinic vs. tetragonal), since mixed phases are often used.<sup>[13]</sup> Wang et al. proposed the interface of ZnO and ZrO<sub>2</sub> in mixed phase Zr-Zn oxide catalysts as the active site for CO<sub>2</sub> adsorption and the subsequent production of formate species.<sup>[14]</sup> Similarly, Zhang et al. constructed Zn<sup>2+</sup>–O–Zr<sup>4+</sup> active sites in a metal-organic framework (i.e., MOF-808), and suggested that  $Zn^{2+}$ –O– $Zr^{4+}$  is the active center of the catalytic hydrogenation of CO2 into CH3OH instead of Zn<sub>m</sub>O<sub>n</sub> clusters.<sup>[15]</sup> However, information is lacking about the evolution of the catalysts under reaction conditions. Therefore, it is of great significance to design ZnO/ZrO<sub>2</sub> catalysts varying the extent and type of interfacial  $ZnO_{x}$ -ZrO<sub>y</sub> sites, and to study the reaction mechanism and active phase by various in situ and operando spectroscopy methods.

In this work, the same amount of ZnO was loaded on the surface of ZrO<sub>2</sub>-m and ZrO<sub>2</sub>-t by impregnation, with the aim to tune the ZnO dispersion. It was found that ZnO formed particles or clusters of different sizes depending on the ZrO<sub>2</sub> support phase. On a ZrO<sub>2</sub>-t support, ZnO formed sub-nanometer size clusters with uniform distribution, while large-sized ZnO particles were found on the surface of a ZrO<sub>2</sub>-m support. As expected from the larger extent of ZnO-ZrO<sub>2</sub> interface, ZnO/ZrO<sub>2</sub>-t showed much higher CH<sub>3</sub>OH selectivity (i.e., 81%) and CH<sub>3</sub>OH yield (i.e., 1.25 mmolg<sup>-1</sup>h<sup>-1</sup>) compared with  $ZnO/ZrO_2$ -m (i.e., 39%) and  $0.67 \text{ mmol g}^{-1}\text{h}^{-1}$ ). Operando and in situ spectroscopy experiments revealed that ZnO clusters are redispersed on ZrO-t during catalysis, and that surface formate species are actively involved in the CO2-to-CH3OH reaction over ZnO/ ZrO<sub>2</sub> catalysts.

### **Results and Discussion**

Two distinct ZnO/ZrO<sub>2</sub> catalyst materials with the same ZnO content were prepared by impregnation on ZrO<sub>2</sub>-t and ZrO<sub>2</sub>-m as support oxides, followed by calcination at 400 °C for 2 h (see Supporting Information, SI, for more details). Transmission electron microscopy (TEM) images of the starting ZrO<sub>2</sub> supports show that both materials are comprised of irregular nanoparticles (NPs), of ~10-15 nm for the ZrO<sub>2</sub>-t support, and slightly larger 20 nm NPs for monoclinic ZrO<sub>2</sub> (ZrO<sub>2</sub>-m) (Figure S1). Energy dispersive X-ray (EDX) spectroscopy measurements showed that the Zn content of ZnO/ZrO<sub>2</sub>-t and ZnO/ZrO<sub>2</sub>-m was  $4.7\pm0.2$ and  $4.3\pm0.2$  wt %, respectively (Figure S2). In addition, the Brunauer-Emmett-Teller (BET) surface areas of ZrO2-m and  $ZrO_2$ -t were ~56 and ~127 m<sup>2</sup>/g, respectively, with minimal changes observed after ZnO loading (Figure S3). The BET surface areas of ZnO/ZrO2-m and ZnO/ZrO2-t were ~60 and ~107 m<sup>2</sup>/g, respectively. The average pore diameters of ZrO<sub>2</sub>-m and ZrO<sub>2</sub>-t were comparable, i.e., ~15.6 and ~14.4 nm, respectively, as calculated based on the Barrett–Joyner–Halenda (BJH) method.

The powder X-ray diffraction (PXRD) patterns of the starting  $ZrO_2$ -t and  $ZrO_2$ -m supports matched the standard XRD references (PDF#71-1282 and PDF#86-1450), proving that two different phases of  $ZrO_2$  have indeed been successfully obtained (Figure 1a). After the addition of Zn and calcination, the PXRD patterns of  $ZnO/ZrO_2$ -m showed a new sharp reflection at ~36.2° compared with the  $ZrO_2$ -m support, which belongs to the ZnO (101) plane (PDF# 75-0576) (Figure 1b). On the other hand, no diffraction peaks attributed to ZnO were observed in ZnO/ZrO<sub>2</sub>-t. These results indicate that ZnO was uniformly dispersed on the surface of the  $ZrO_2$ -t support, while large-sized ZnO particles were formed on the ZrO<sub>2</sub>-m support.

High-angle angular dark field-scanning transmission electron microscopy (HAADF-STEM) and energy-dispersive X-ray (EDX) spectroscopy analysis were used to further clarify the nanostructure of ZnO/ZrO<sub>2</sub>-t and ZnO/ZrO<sub>2</sub>-m (Figure 1c–d). The EDX mapping images of ZnO/ZrO<sub>2</sub>-t show that Zn atoms were highly dispersed on the surface of the ZrO<sub>2</sub>-t support, as there was no obvious ZnO particle aggregation. In contrast, large (>10 nm) ZnO particles were formed on the surface of the ZrO<sub>2</sub>-m support. Aberrationcorrected (AC) HAADF-STEM was used to further analyze the distribution of Zn species in the ZnO/ZrO<sub>2</sub>-t sample. The EDX mapping images revealed that Zn was evenly distributed at the interface between the ZrO<sub>2</sub>-t support particles, forming very small clusters and/or atomic species (Figure 1e).

In order to further analyze the structure of the catalyst materials, the ZnO/ZrO<sub>2</sub> samples were studied by UV/Vis diffuse reflectance spectroscopy (DRS) and Raman spectroscopy. As shown in Figure 2a, the UV/Vis DRS data of both ZnO/ZrO<sub>2</sub>-m and ZnO showed an intense absorption band with an onset at ~390 nm, related to the absorption of ZnO.<sup>[16]</sup> Notably, the intensity of the absorption band related to ZnO species decreased significantly in ZnO/ZrO<sub>2</sub>t, and it exhibited a blue-shift to ~385 nm. Such blue-shifted absorption spectrum indicates an increase in the band gap of the semiconductor, which is consistent with quantum size effects.<sup>[17]</sup> This further suggests that ZnO was atomically dispersed on the surface of ZrO2-t.<sup>[18]</sup> Furthermore, Raman spectroscopy was used to characterize the phase structure of the  $ZnO/ZrO_2$  catalyst (Figure 2b). Under 785 nm laser excitation, the Raman spectrum of ZrO<sub>2</sub>-m showed vibrational bands at ~179, 188, 222, 307, 334, 344, 381, 480, 557, 617, and  $632 \text{ cm}^{-1}$ , which we assigned to the  $ZrO_2$ -m phase.<sup>[19]</sup> An additional vibrational band was observed at ~439 cm<sup>-1</sup> in the Raman spectrum of ZnO/ZrO<sub>2</sub>-m, corresponding to the presence of ZnO. Besides, only vibrational peaks at ~269, 316, 454, and 646 cm<sup>-1</sup> relating to tetragonal ZrO<sub>2</sub> were observed for ZnO/ZrO<sub>2</sub>-t, and no vibrational peaks belonging to ZnO were observed. Therefore, ZnO/ ZrO<sub>2</sub> catalysts with two different Zn distribution were obtained by changing the phase of the ZrO<sub>2</sub> support. It can be concluded that the ZnO/ZrO2-t catalyst exhibited a higher density of ZnO<sub>x</sub>-ZrO<sub>y</sub> sites compared to ZnO/ZrO<sub>2</sub>m.



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*Figure 1.* Effect of  $ZrO_2$  phase on ZnO distribution and nanostructure. (a,b) Powder X-ray diffraction (PXRD) patterns of  $ZrO_2$ -t,  $ZrO_2$ -m, ZnO/ ZrO<sub>2</sub>-t and ZnO/ZrO<sub>2</sub>-m. The blue line corresponds to the reflection of ZnO at ~36.2°. (c,d) High-angle angular dark field-scanning transmission electron microscopy (HAADF-STEM) images, and corresponding energy dispersive X-ray (EDX) spectroscopy mapping images of (c) ZnO/ZrO<sub>2</sub>-m and (d) ZnO/ZrO<sub>2</sub>-t. (e) Aberration-corrected HAADF-STEM (AC-HAADF-STEM) images of ZnO/ZrO<sub>2</sub>-t and corresponding EDX spectroscopy mapping images.



*Figure 2.* Spectroscopic characterization of the synthesized  $ZnO/ZrO_2$  catalyst materials. UV/Vis DRS data of: (a)  $ZrO_2$ -m,  $ZnO/ZrO_2$ -m,  $ZrO_2$ -t, ZnO and  $ZnO/ZrO_2$ -t. The pink lines mark the absorption band of ZnO species in  $ZnO/ZrO_2$ -m and  $ZnO/ZrO_2$ -t. (b) Raman spectra of: ZnO,  $ZrO_2$ -m,  $ZnO/ZrO_2$ -t. The pink line represents the Raman peak of ZnO.

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The difference in extent of  $ZnO-ZrO_2$  interface in the two catalysts is hard to determine quantitatively, but a lower limit may be roughly estimated as follows: If we approximate the sub-nanometer clusters in  $ZrO_2$ -t to be at most 1 nm in size, and consider that the ZnO particles in  $ZrO_2$ -m are at least 10 nm in size, the ratio of the ZnO-ZrO<sub>2</sub> perimeter in  $(ZnO/ZrO_2-m)/(ZnO/ZrO_2-t)$  is at most 1/10.

It is interesting to find that ZnO dispersion could be alerted by changing the ZrO<sub>2</sub> phase (tetragonal or monoclinic). Density functional theory (DFT) calculations were conducted to understand the different dispersion behavior of ZnO between tetragonal and monoclinic ZrO2. To build a model for the supported ZnO/ZrO<sub>2</sub> catalysts, we used the most stable surfaces of tetragonal ZrO2 and monoclinic  $ZrO_2$ , that is, (101) and (111), respectively (Figure S4).<sup>[20]</sup> The adsorption energy of a Zn atom on the  $ZrO_2$ -t (101) and ZrO<sub>2</sub>-m (111) surfaces was calculated. For the adsorption of Zn on ZrO2-m, only one stable structure was obtained, where Zn replaced a H atom in a hydroxyl group with an adsorption energy of 0.29 eV, indicating unfavorable adsorption of Zn (Figure S5). In contrast, when adsorbing Zn on the ZrO<sub>2</sub>-t (101) surface, three different stable structures were obtained. In one of the configurations, Zn replaced a hydrogen atom in a hydroxyl group, while in the other two arrangements, Zn directly coordinated with surface oxygen atoms. The adsorption energies for these structures were -0.64, -0.83, and -0.41 eV, respectively. Therefore, Zn atoms exhibited the strongest adsorption energy (-0.83 eV) when coordinating with surface oxygen atoms on the ZrO<sub>2</sub>-t (101) surface, compared to the ZrO<sub>2</sub>-m (111) surface, suggesting that a stronger interaction is the reason for enhanced ZnO dispersion on ZrO2-t (Figure S67). The DFT results thus indicate that the surface atomic structure of different phases  $ZrO_2$  affects the adsorption of Zn, consistent with experimental results.

The catalytic performance during CO<sub>2</sub> hydrogenation of the different materials under study was tested in a fixed-bed reactor (see Supporting Information, SI). As shown in Figure 3a, pure ZrO<sub>2</sub>-m, ZrO<sub>2</sub>-t, and ZnO only produced CO in the CO<sub>2</sub> hydrogenation reaction at 320 °C and 20 bar. The CO yield of  $ZrO_2$ -m (0.39 mmol g<sup>-1</sup> h<sup>-1</sup>) was significantly higher than that of  $ZrO_2$ -t (0.06 mmolg<sup>-1</sup>h<sup>-1</sup>) and ZnO (0.03 mmol  $g^{-1}h^{-1}$ ). On the other hand, both of ZnO/ ZrO<sub>2</sub> composite catalysts produced CH<sub>3</sub>OH, indicating that the synergistic effects between ZnO and ZrO<sub>2</sub> are beneficial to the formation of CH<sub>3</sub>OH. Comparing the catalytic performance of ZnO/ZrO2-m and ZnO/ZrO2-t, the CO2 conversion of ZnO/ZrO2-t and ZnO/ZrO2-m were 2.6% and 2.9%, respectively. While this conversion value is rather low, it was selected to be in a kinetic regime. It was found that the production rate of CH<sub>3</sub>OH in ZnO/ZrO<sub>2</sub>-t (i.e., 1.25 mmolg<sup>-1</sup> $h^{-1}$ ) was much higher than that of ZnO/ZrO<sub>2</sub>m (i.e., 0.67 mmol  $g^{-1}h^{-1}$ ).

Furthermore, ZnO/ZrO<sub>2</sub>-t displayed a CH<sub>3</sub>OH selectivity of 81 %, which is about twice that of ZnO/ZrO<sub>2</sub>-m (i.e., 39%) (Figure 3a) and was stable for more than 85 h timeon-stream (Figure 3b). The performance of the catalyst materials was comparable or exceeding the one of Zn-based catalysts reported in the literature, even at the relatively low pressure of 20 bar used in this study (Table S1). The difference in BET surface area may partially explain the higher activity of the ZnO/ZrO<sub>2</sub>-t catalyst compared to the ZnO/ ZrO<sub>2</sub>-m catalyst, but do not alone explain the different selectivity observed.



*Figure 3.* Effect of the  $ZrO_2$  phase on the performance of  $ZnO/ZrO_2$  catalysts in the  $CO_2$ -to-CH<sub>3</sub>OH reaction. (a) Production rate of CO and CH<sub>3</sub>OH and selectivity to CH<sub>3</sub>OH for different catalyst materials in CO<sub>2</sub> hydrogenation at 320°C. (b) Evolution of the production rate of CH<sub>3</sub>OH with time on stream over  $ZnO/ZrO_2$ -t. (c,d) Production rate of CO and CH<sub>3</sub>OH at different reaction temperatures over  $ZnO/ZrO_2$ -t and  $ZnO/ZrO_2$ -m. (Reaction conditions: 1 g catalyst, reaction pressure = 20 bar, gas composition:  $CO_2:H_2=1/3$ , and GHSV: 1800 h<sup>-1</sup>).

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The CO<sub>2</sub> hydrogenation catalytic performance of the ZnO/ZrO<sub>2</sub>-t and ZnO/ZrO<sub>2</sub>-m catalysts was also tested at different reaction temperatures (Figure 3c-d). For the ZnO/ ZrO<sub>2</sub>-t catalyst, the CH<sub>3</sub>OH formation rate was higher than that of CO at all the temperatures tested, while for the ZnO/ ZrO<sub>2</sub>-m catalyst, the CO production rate increased significantly when the temperature was increased to 300°C. The CH<sub>3</sub>OH yield of ZnO/ZrO<sub>2</sub>-t and ZnO/ZrO<sub>2</sub>-m began to decrease when the temperature reached 340°C (Figure S8). This is expected, as the hydrogenation of CO<sub>2</sub> to CH<sub>3</sub>OH is an exothermic reaction, and high temperatures are not conducive to the formation of methanol. Due to the competition of rWGS and CH<sub>3</sub>OH production reaction, it was not possible to extract sensible values for the apparent activation energies and pre-exponential values by the corresponding Arrhenius plots.<sup>[21]</sup> Altogether, the higher CH<sub>3</sub>OH yield and selectivity of the ZnO/ZrO<sub>2</sub>-t catalyst with respect to the ZnO/ZrO2-m catalyst may be explained by an effect of the different Zn state and/or dispersion (and extent of ZnO- $ZrO_2$  interface) on the two  $ZrO_2$  supports.

A comparison of the ratio in methanol yield (0.5) and the estimated difference in  $ZnO-ZrO_2$  interface (<0.1) between ZnO/ZrO<sub>2</sub>-m and ZnO/ZrO<sub>2</sub>-t suggests that the extent of the interface is not the only factor at play in determining the catalytic performance of the catalyst. To further rationalize the observed catalytic trends, we characterized the acid-base properties of the materials under study by NH<sub>3</sub> and CO<sub>2</sub> temperature programmed desorption (TPD) experiments. During NH<sub>3</sub> TPD measurements, a broad peak was observed at ~475-500°C for ZrO2-m and ZnO/ZrO<sub>2</sub>-m, while a weak band was observed at ~274-287 °C for ZrO<sub>2</sub>-t and ZnO/ZrO<sub>2</sub>-t, indicating that ZrO<sub>2</sub>-m has a relatively stronger acidity (Figure S9a).<sup>[22]</sup> During CO<sub>2</sub> TPD measurements, the CO<sub>2</sub> desorption peaks of ZrO<sub>2</sub>-m and ZnO/ZrO2-m were observed in the low temperature region (at ~107 and ~88°C). ZrO2-t and ZnO/ZrO2-t exhibited higher temperature CO<sub>2</sub> desorption peaks, indicating that they possess stronger basic sites than ZrO<sub>2</sub>-m and  $ZnO/ZrO_2$ -m (Figure S9b).<sup>[23]</sup>

To gain further insights into hydrogen activation behavior of the catalysts, we performed temperature programmed reduction (TPR) experiments with H<sub>2</sub>. As expected, we did not observe H<sub>2</sub> consumption in the case of the pure ZrO<sub>2</sub>-t and ZrO<sub>2</sub>-m supports. Similarly, pure ZnO did not show any H<sub>2</sub> consumption, as the reduction of bulk ZnO is thermodynamically unfavorable even under 1 bar H<sub>2</sub> pressure until temperatures above  $1200 \,^{\circ}C$ .<sup>[24]</sup> On the other hand, ZnO/ ZrO<sub>2</sub>-t and ZnO/ZrO<sub>2</sub>-m showed hydrogen consumption peaks between 300 and 400  $\,^{\circ}C$  (Figure S9c). The amount of hydrogen consumed in ZnO/ZrO<sub>2</sub>-m and ZnO/ZrO<sub>2</sub>-t was ~11.3 and ~1.9 mL/g, respectively. These observations suggest that the ZnO nanostructure and/or the ZrO<sub>2</sub> support phase had an influence on hydrogen activation.

To explain such difference in  $H_2$  uptake between the two catalyst materials, we can propose two hypotheses: (i) ZnO/ ZrO<sub>2</sub>-t was reduced to a lower degree with respect to ZnO/ ZrO<sub>2</sub>-m, or (ii) hydrogen spilled over onto ZrO<sub>2</sub>-t to a lesser extent than in the case of ZrO<sub>2</sub>-m. Theoretically, the amount of hydrogen required for the complete reduction of ZnO in ZnO/ZrO<sub>2</sub>-t and ZnO/ZrO<sub>2</sub>-m is ~16 and ~15 mL/g, respectively. Therefore, if we were to assume that all H<sub>2</sub> was used for ZnO reduction to metallic Zn, 76 mol.% of Zn would be reduced for ZnO/ZrO<sub>2</sub>-m, and 12 mol.% for ZnO/ZrO<sub>2</sub>-t. Given that bulk ZnO is not reduced by H<sub>2</sub>, and that ZnO is less dispersed (and thus more bulk-like) on ZrO<sub>2</sub>-m than in ZrO<sub>2</sub>-t, the extent and trend in H<sub>2</sub> uptake are not consistent with ZnO reduction. Therefore, we attribute the observed H<sub>2</sub> consumption to hydrogen spillover from ZnO to ZrO<sub>2</sub>. Notably, hydrogen spillover was reported for Cu/ZrO<sub>2</sub>-m catalysts,<sup>[25]</sup> and other reports of hydrogen spillover from metals on ZrO<sub>2</sub> are known.<sup>[26]</sup>

Since both hydrogen spillover and reduction of ZnO require H<sub>2</sub> activation by dissociative hydrogen adsorption, we studied this step by density functional theory (DFT) calculations. We calculated the adsorption energy of dissociatively adsorbed  $H_2$  on a pure ZnO slab with a (001) termination, and on a Zn atom adsorbed on ZrO<sub>2</sub>-t (101) termination (Figure S10-11). The H\* adsorption energy at the Zn–O–Zr site in ZnO/ZrO<sub>2</sub>-t (101) and the ZnO (001) surface was -0.29 and 0.05 eV, respectively, showing that, thermodynamically, it is easier to form a H\* species at a ZnO site in the presence of a ZrO<sub>2</sub> support than on a bulk ZnO surface. This further suggests, based on linear scaling relations and the Hammond postulate, that the activation barrier for  $H_2$  dissociation may be lower on the  $ZnO_x$ -ZrO<sub>y</sub> sites in ZnO/ZrO<sub>2</sub>-t (101) than for pure ZnO. Since a difference in H\* adsorption energy will affect the H\* coverage at the ZnO sites, we postulate that this, together with the difference in CO<sub>2</sub> adsorption revealed by TPD measurements, could ultimately affect the reaction mechanism and selectivity on the two catalysts.

In order to explore the reaction mechanism of the two differently prepared ZnO/ZrO2 composite catalysts, the intermediates in the CO<sub>2</sub> hydrogenation reaction were analyzed by operando diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS), and the reaction products were detected by online gas chromatography (GC). Infrared (IR) absorption bands of formate species, CO(g) and methoxy species were observed for the ZnO/ZrO2-m and ZnO/ZrO<sub>2</sub>-t catalysts (Figure 4a-b). Absorption bands at ~1595, 2887, and  $1381 \text{ cm}^{-1}$  were attributed to the  $v_{as}(OCO)$  stretching vibration, v(CH) stretching vibration and  $\delta(CH)$  bending vibration of the adsorbed bidentate HCOO\* species.<sup>[14]</sup> The absorption band at ~2827 cm<sup>-1</sup> was assigned to the v(CH<sub>3</sub>) stretching vibration of \*OCH<sub>3</sub> species.<sup>[27]</sup> Gaseous CO bands were also observed at ~2174 and ~2113 cm<sup>-1</sup>. For ZnO/ZrO<sub>2</sub>-t, an absorption band was also observed at ~1446 cm<sup>-1</sup> after 20 min of reaction, and continued to grow in intensity over time (Figure S12). This band was assigned to carbonate  $(CO_3^{2-})$  species.<sup>[28]</sup>  $CO_3^{2-}$  is not a commonly accepted reaction intermediate in the hydrogenation of CO<sub>2</sub> to CH<sub>3</sub>OH, and the fact that it slowly accumulates on the surface suggests it is a spectator species. Furthermore, the HCOO\* peak (2887 cm<sup>-1</sup>) of singlecomponent catalytic materials (ZrO<sub>2</sub>-t, ZrO<sub>2</sub>-m and ZnO) was significantly lower than that of the ZnO/ZrO<sub>2</sub> composite catalysts (Figure S13), suggesting that the formate pathway has an impact on methanol production, and the ZnO-ZrO<sub>2</sub>

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*Figure 4.* Formation and reactivity of intermediates of the CO<sub>2</sub>-to-CH<sub>3</sub>OH reaction. Operando DRIFTS data as a function of time during catalytic CO<sub>2</sub> hydrogenation over (a) ZnO/ZrO<sub>2</sub>-t and (b) ZnO/ZrO<sub>2</sub>-m catalyst materials. (c) DRIFTS spectra as a function of time when switching from CO<sub>2</sub> + H<sub>2</sub> to H<sub>2</sub> over ZnO/ZrO<sub>2</sub>-t at 320 °C and 10 bar. (d) Area of the HCOO\* and CO(g) IR bands when switching from CO<sub>2</sub> + H<sub>2</sub> to H<sub>2</sub> over ZnO/ZrO<sub>2</sub>-t at 320 °C and 10 bar. (d) Area of the HCOO\* and CO(g) IR bands when switching from CO<sub>2</sub> + H<sub>2</sub> to H<sub>2</sub>. (*k*<sub>1</sub> and *k*<sub>2</sub> are the slopes of the disappearance of the HCOO\* bands for ZnO/ZrO<sub>2</sub>-t and ZnO/ZrO<sub>2</sub>-m. Blue symbols: ZnO/ZrO<sub>2</sub>-t, orange symbols: ZnO/ZrO<sub>2</sub>-m). Reaction conditions: CO<sub>2</sub>/H<sub>2</sub>=1/3, reaction temperature = 320 °C, reaction pressure = 10 bar, 1 spectrum min<sup>-1</sup>).

interface contributes significantly to the formation of HCOO\*, thereby enhancing the production of methanol. Compared with the  $ZnO/ZrO_2$ -m catalyst, the intensity of the HCOO\* absorption bands on  $ZnO/ZrO_2$ -t were significantly stronger, indicating that  $ZnO/ZrO_2$ -t with a higher density of  $ZnO_x$ - $ZrO_y$  sites lead to accumulation of HCOO\* species (Figure 4b).

The spectral intensity of HCOO\* and gaseous CO bands as a function of time was shown in Figure 4d. For ZnO/ ZrO<sub>2</sub>-m, the HCOO\* band intensity reached a plateau at 20 min, but the intensity value was lower than that of ZnO/ ZrO<sub>2</sub>-t. On the other hand, ZnO/ZrO<sub>2</sub>-m and ZnO/ZrO<sub>2</sub>-t showed no obvious difference in the rate and trend of gaseous CO production. The observed CO selectivity is therefore not comparable to the trends observed during catalytic testing (Figure 3), which we attribute to the different operating pressure (10 bar vs. 20 bar).

To gain information about reactive intermediates, we have performed operando DRIFTS experiments, while switching to H<sub>2</sub> after steady state was reached under the  $CO_2/H_2$  reaction mixture (Figure 4c and S14). The areas of the absorption bands of CO(g) (~2174 cm<sup>-1</sup>), HCOO\* (~2887 cm<sup>-1</sup>) and  $CO_3^{2-}$  (~1446 cm<sup>-1</sup>) in the spectra of ZnO/ZrO<sub>2</sub>-t were further analyzed as a function of the time after switching to H<sub>2</sub> (Figure 4d and S15). The intensity of the CO(g) band decreased after switching from  $CO_2/H_2$  to H<sub>2</sub> for both ZnO/ZrO<sub>2</sub>-m and ZnO/ZrO<sub>2</sub>-t, with similar trends. In addition, the HCOO\* band area showed a slight increase

and then a decrease for both catalysts. This indicates that  $H_2$ first generated HCOO\* by reaction with CO2, and then further converted the generated HCOO\* into methanol. Compared with ZnO/ZrO<sub>2</sub>-m, ZnO/ZrO<sub>2</sub>-t showed a higher HCOO\* band intensity and the rate of decrease in band intensity (slope  $k_1$ : -0.12) was significantly higher than that of ZnO/ZrO<sub>2</sub>-m (slope  $k_2$ : -0.04) (Figure 4d). In addition, the area of the absorption band of  $\text{CO}_3^{2-}$  (~1446 cm<sup>-1</sup>) in the spectra of ZnO/ZrO<sub>2</sub>-t gradually increased after switching to H<sub>2</sub>, indicating that CO<sub>3</sub><sup>2-</sup> was most probably not an intermediate species of methanol production (Figure S15). When the feed gas CO<sub>2</sub> and H<sub>2</sub> were switched to Ar at  $320^{\circ}$ C, the IR band area of HCOO\* (~2887 cm<sup>-1</sup>) in both catalysts did not decrease within 30 min (Figure S16). In addition, the gaseous CO at ~2174 cm<sup>-1</sup> was quickly purged away within a few minutes. The results are significantly different from the trends observed in Figure 4d and prove that the change in band area is due to reactivity and not desorption.

To study the surface chemistry of the catalyst materials, we performed in situ Near-ambient pressure X-ray photoelectron spectroscopy (NAP-XPS) experiments under CO<sub>2</sub> and H<sub>2</sub>. In the C 1s region of the spectrum of ZnO/ZrO<sub>2</sub>-t, no signal was observed under ultrahigh vacuum (UHV), but a strong C 1s signal was detected under CO<sub>2</sub> + H<sub>2</sub> (Figure 5). The peak of C 1s in ZnO/ZrO<sub>2</sub>-t was fitted as HCOO<sup>-</sup> (288.4 eV), C–O (286.2 eV), C–C (284.6 eV) and C–H (283.3 eV).<sup>[29]</sup> The signal intensity of HCOO<sup>-</sup> increased with



Figure 5. Surface chemistry of  $ZnO/ZrO_2$  materials under  $CO_2/H_2$  gas conditions. In situ NAP-XPS data in the C 1s region for  $ZnO/ZrO_2$ -t,  $ZnO/ZrO_2$ -m and ZnO at 320 °C in 0.25 mbar  $CO_2$  and 0.75 mbar  $H_2$  and  $ZnO/ZrO_2$ -t under ultrahigh vacuum (UHV) conditions.

the increase of the reaction temperature (Figure S17). The results suggest that higher temperature favors the production of HCOO<sup>-</sup>. When the gas was switched from CO<sub>2</sub> and  $H_2$  to  $H_2$ , the HCOO<sup>-</sup> signal was reduced, indicating that HCOO<sup>-</sup> species were reactive under these conditions (Figure S18). The HCOO<sup>-</sup> species were also observed in the C 1s NAP-XPS spectrum of ZnO/ZrO<sub>2</sub>-m, but the intensity was much lower than that of ZnO/ZrO<sub>2</sub>-t, and only C-C signals were observed in the spectrum of ZnO (Figure 5). The spectra of the Zn Auger LMM spectra revealed that all catalysts exposed Zn<sup>2+</sup> oxidation state species on the surface under the  $CO_2/H_2$  atmosphere (Figure S19). In summary, the results of the NAP-XPS measurements, albeit being performed at much lower pressures, are consistent with those of the operando DRIFTS measurements, indicating that reactive formate species are preferentially formed at the ZnO/ZrO<sub>2</sub>-t interface.

The slow and delayed accumulation of surface  $CO_3^{2-}$  species on the ZnO/ZrO<sub>2</sub>-t catalyst observed by operando DRIFTS (Figure S15) suggested that the catalyst was changing under CO<sub>2</sub> hydrogenation reaction conditions. To observe possible electronic structure changes of the two ZnO/ZrO<sub>2</sub> catalyst materials during reaction, we performed operando UV/Vis DRS measurements.<sup>[30]</sup> The procedure of the experiments is shown in Figure S20a. First, the reaction temperature was successively increased to 260, 280, and

 $300^{\circ}$ C under the reaction atmosphere (i.e., CO<sub>2</sub> and H<sub>2</sub>) with 2 h isotherms. Subsequently, the temperature was raised to 320°C for 6 h, and a gas switching experiment between  $CO_2$  and  $H_2$  was performed after 3 h of reaction. Then, the temperature was gradually decreased to 300, 280 and 260 °C. For the ZnO/ZrO2-m catalyst, an absorption band belonging to ZnO was clearly visible at ~400 nm and only shifted slightly with temperature during reaction (Figure 6b,d). The intensity of the band edge absorption for ZnO at ~390-410 nm gradually increased as the reaction temperature increased, suggesting the band gap of ZnO became smaller at higher temperature, possibly due to thermal expansion (Figure S20b).<sup>[31]</sup> The process was indeed reversible, as the absorption band intensity decreased during cooling. Only minor changes in the absorption were observed during gas switching experiments for both ZnO/ ZrO<sub>2</sub> catalyst materials, indicating that ZnO was not reduced under the conditions used in this work (Figure S20c).

Notably, the UV/Vis DRS data of  $ZnO/ZrO_2$ -t under  $CO_2$  and  $H_2$  at 260 °C were different from that of the fresh catalyst: the intensity of the absorption band at ~250 nm increased sharply, while the absorption bands at ~300 and 358 nm increased slightly in intensity (Figure S21). Moreover, the intensity of the absorption band at ~358 nm decreased upon increasing the temperature to 320 °C, indicating that the ZnO/ZrO<sub>2</sub>-t catalyst underwent structural or chemical changes during reaction at higher temperature (Figure 6a,c), which were not reversible during the subsequent cooling process (Figure S20b).

The decrease of intensity of the ligand to metal charge transfer (LMCT) band suggests that the ZnO was either partially reduced, and/or that the already well-dispersed ZnO clusters were further dispersed to form more ZnO<sub>x</sub>-ZrO<sub>y</sub> sites when the reaction temperature is 320 °C. Based on H<sub>2</sub> TPR results and on thermodynamics of ZnO reduction,<sup>[24]</sup> it is unlikely that the changes observed by operando UV/Vis DRS are due to ZnO reduction. Moreover, Zn<sup>2+</sup> reduction was not observed by NAP-XPS under H<sub>2</sub> or CO<sub>2</sub>/H<sub>2</sub> atmospheres (Figure S18). On the other hand, the AC-HAADF-STEM image of the used ZnO/ZrO<sub>2</sub>-t catalyst showed that Zn was indeed more uniformly dispersed on the ZrO<sub>2</sub>-t surface than in the fresh catalyst material (Figure 6f).

The yield of methanol observed by on-line GC measurements during the operando UV/Vis DRS experiments directly illustrates the effect of the dynamic changes of the catalyst on its activity (Figure 6c). The ZnO/ZrO<sub>2</sub>-m catalyst showed no significant difference in methanol production during cooling and heating for a certain temperature (Figure S22). In contrast, for the ZnO/ZrO<sub>2</sub>-t catalyst, the rate of methanol formation during cooling was higher than that during heating, suggesting that the ZnO structure positively affected the catalytic performance.

We thus show that the higher methanol yield observed is related to an increased density of  $ZnO_x$ – $ZrO_y$  sites in the  $ZnO/ZrO_2$ -t catalyst due to their restructuring during catalysis. Nonetheless, if we assume that the catalytically active sites for methanol formation are exclusively at the



*Figure 6.* Evolution of ZnO species under CO<sub>2</sub> hydrogenation conditions. Operando UV/Vis DRS data obtained for (a) ZnO/ZrO<sub>2</sub>-t and (b) ZnO/ZrO<sub>2</sub>-m under CO<sub>2</sub> hydrogenation reaction conditions at 320 °C (grey box marks the absorption band due to ZnO species); (c,d) Contour plots showing the intensities of the UV/Vis absorption during the DRS experiments, for (c) ZnO/ZrO<sub>2</sub>-t in the 350–368 nm spectral region and (d)) ZnO/ZrO<sub>2</sub>-m in the 390–410 nm region; (e) Difference methanol amount for ZnO/ZrO<sub>2</sub>-t and ZnO/ZrO<sub>2</sub>-m between the heating and cooling stages. (f) AC-HAADF-STEM image of a used ZnO/ZrO<sub>2</sub>-t catalyst material. (Reaction conditions: 1 bar, temperature = 260–320 °C; and gas composition:  $CO_2/H_2 = 1/3$ ).

ZnO-ZrO<sub>2</sub> interface, the intrinsic activity of the interfacial sites in ZnO/ZrO<sub>2</sub>-t would be lower than ZnO/ZrO<sub>2</sub>-m by at least a factor of 5. Alternatively, it is possible that the extent of the active interface is different in the two catalyst materials, due to a different degree of hydrogen spillover, as suggested by the H<sub>2</sub> TPR results. Moreover, even though bulk ZnO was not active for methanol production, the surface of supported ZnO nanoparticles could also provide active sites for the reaction, as shown by a change in hydrogen stabilization in our DFT calculations. Therefore, future research in controlling the ZnO nanoparticle size and intraparticle distance on both ZrO<sub>2</sub> polymorphs could yield improved methanol synthesis catalysts.

#### Conclusions

By loading an equal amount of ZnO on the surface of tetragonal  $ZrO_2$  ( $ZrO_2$ -t) and monoclinic  $ZrO_2$  ( $ZrO_2$ -m), it was found that the nanostructure and surface chemistry of ZnO is affected by the different phases of  $ZrO_2$ . Large-sized ZnO particles were observed on the  $ZrO_2$ -m surface, while the  $ZrO_2$ -t surface contained highly dispersed ZnO clusters. Theoretical calculations indicate that the differences in the adsorption energy of Zn on tetragonal and monoclinic  $ZrO_2$  surfaces lead to distinct dispersion behaviors. The ZnO/ $ZrO_2$ -t material showed a higher methanol yield (i.e., 1.25 mmolg<sup>-1</sup>h<sup>-1</sup>) and up to 80 % methanol selectivity in the catalytic CO<sub>2</sub> hydrogenation at 20 bar, thereby outperform-

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ing the ZnO/ZrO<sub>2</sub>-m material. Through operando DRIFTS and in situ NAP-XPS, it was found that HCOO\* were active species on both catalyst materials, suggesting that the high density of ZnO<sub>x</sub>-ZrO<sub>y</sub> sites in ZnO/ZrO<sub>2</sub>-t was beneficial to the formation and conversion of HCOO\*. However, the different extent of the ZnO-ZrO<sub>2</sub> interface alone did not explain the change in catalytic performance of the two catalysts. H<sub>2</sub> temperature programmed reduction and CO<sub>2</sub> temperature programmed desorption suggest that the type of interface is also important, and can influence the extent of hydrogen spillover and the acid/base properties of the catalyst, in turn affecting the reaction mechanism. Operando UV/Vis diffuse reflectance spectroscopy and transmission electron microscopy indicated that the highly dispersed subnanometer ZnO on the surface of ZrO2-t restructured to form more ZnO<sub>x</sub>-ZrO<sub>y</sub> interfacial sites to facilitate the production of methanol, while the large-sized ZnO remained stable during reaction. Our findings further reveal the important role of metal oxide interfaces, where both active phase and support functionalities can take part in the reaction mechanism. Future studies should focus on further tuning the interactions between ZnO and ZrO<sub>2</sub>, aiming to control the ZnO dispersion on both polymorphs by more advanced synthesis methods and/or related pretreatments.

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## **Conflict of Interest**

The authors declare no conflict of interest.

#### **Data Availability Statement**

The data that support the findings of this study are openly available in Data package from [Highly Dispersed ZnO Sites in a ZnO/ZrO2 Catalyst Promote the CO2-to-Methanol Reaction] at https://public.yoda.uu.nl/science/UU01/QJ9IIS.html.

**Keywords:** CO<sub>2</sub> hydrogenation · Methanol · Zirconia · Zinc oxide · Support effects

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# **Research Article**

# **Research Article**

## CO<sub>2</sub> Hydrogenation

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Highly Dispersed ZnO Sites in a  $ZnO/ZrO_2$  Catalyst Promote Carbon Dioxide-to-Methanol Conversion



This study examines how  $ZrO_2$  crystal phases influence  $CO_2$  hydrogenation to methanol over ZnO. ZnO on tetragonal zirconia ( $ZrO_2$ -t) showed higher activity and selectivity for methanol than on

monoclinic zirconia ( $ZrO_2$ -m), due to higher ZnO dispersion and an extended ZnO/ $ZrO_2$  interface, which enhances HCOO\* intermediate conversion into methanol.